

Notice

CRD® or IARD(TM) Information: This report contains information from the CRD (Central Registration Depository) system, or the IARD system (Investment Advisers Registration Depository), which are operated by FINRA, a national securities association registered under the Securities Exchange Act of 1934. The CRD system primarily contains information submitted on uniform broker-dealer and agent registration forms and certain other information related to registration and licensing. The IARD system primarily contains information submitted on uniform investment adviser and agent registration forms and certain other information related to registration and licensing. The information on Uniform Forms filed with the CRD or IARD is deemed to have been filed with each regulator with which the applicant seeks to be registered or licensed and shall be the joint property of the applicant and such regulators. The compilation constituting the CRD database as a whole is the property of FINRA. Neither FINRA nor a participating regulator warrants or guarantees the accuracy or the completeness of the CRD or IARD information. CRD information consists of reportable and non-reportable information.

FINRA operates the CRD system in its capacity as a registered national securities association and pursuant to an agreement with the North American Securities Administrators Association, Inc. (NASAA).

FINRA operates the IARD system as a vendor pursuant to a contract with the Securities and Exchange Commission and undertakings with NASAA and participating state regulators.

Reportable Information: Information that is required to be reported on the current version of the uniform registration forms.

Non-Reportable Information: Information that is not currently reportable on a uniform registration form. Information typically is not reportable because it is out-of-date; it was reported in error; or some change occurred either in the disposition of the underlying event after it was reported or in the question on the form that elicited the information. Although not currently reportable, this information was once reported on a uniform form and, consequently, may have become a state record. Users of this information should recognize that filers have no obligation to update non-reportable data; accordingly, it may not reflect changes that have occurred since it was reported.

Details for Request#: 20504810
Report: Snapshot - Individual
Requested By: HGH

<u>Parameter Name</u>	<u>Value</u>
Request by CRD# or SSN:	CRD#
Individual CRD# or SSN	323779
Include Personal Information?	Yes
Include All Registrations with Employments:	Both Current and Previous Employments
Include All Registrations for Current and/or Previous Employments with:	All Regulators
Include Professional Designations?	Yes
Include Employment History?	Yes
Include Other Business?	Yes
Include Exam Information?	Yes
Include Continuing Education Information? (CRD Only)	Yes
Include Filing History? (CRD Only)	Yes
Include Current Reportable Disclosure Information?	Yes
Include Regulator Archive and Z Record Information? (CRD Only)	Yes

Individual 323779 - MAY, HECTOR ANTHONY**Administrative Information****Composite Information**

Full Legal Name MAY, HECTOR ANTHONY

State of Residence NY

Active Employments <<No Current Active Employments found for this Individual.>>

Reportable Disclosures? Yes

Statutory Disqualification? BLNK

Registered With Multiple Firms? No

Material Difference in Disclosure? No

Personal Information

Individual CRD# 323779

Other Names Known By MAY, HECTOR A

Year of Birth 1941

Registrations with Current Employer(s)

<<No Registrations with Current Employer(s) found for this Individual.>>

Registrations with Previous Employer(s)

From 07/29/1994 To 03/09/2018 SECURITIES AMERICA, INC.(10205)

Reason for Termination Discharged

Termination Comment Misappropriation of Client Assets

Regulator	Registration Category	Status Date	Registration Status	Approval Date
CA	AG	03/16/2018	TERMED	06/30/2010
CT	AG	03/16/2018	TERMED	07/28/1999
FINRA	GP	03/16/2018	TERMED	08/25/1998
FINRA	GS	03/16/2018	TERMED	08/25/1998
FINRA	OS	03/16/2018	TERMED	11/23/2011
FL	AG	03/16/2018	TERMED	05/07/1999
MD	AG	03/16/2018	TERMED	12/04/2002
NC	AG	03/16/2018	TERMED	09/22/1998
NJ	AG	03/16/2018	TERMED	08/28/1998
NY	AG	03/16/2018	TERMED	09/21/1998
PA	AG	03/16/2018	TERMED	02/14/2011
PA	AG	12/31/2008	TERMED	02/02/2001
TN	AG	05/28/2003	TERMED	05/13/2002
VA	AG	03/16/2018	TERMED	08/25/1998

From 08/15/2011 To 11/04/2013 EXECUTIVE COMPENSATION PLANNERS, INC.(116375)

Reason for Termination

Termination Comment

Regulator	Registration Category	Status Date	Registration Status	Approval Date
NJ	RA	11/04/2013	T_NOU5	09/14/2011

Snapshot - Individual

CRD® or IARD(TM) System Report provided to: Florida

Request Submitted: 3/28/2018 8:07:54 AM

Individual 323779 - MAY, HECTOR ANTHONY

Administrative Information

Registrations with Previous Employer(s)

From 11/30/1992 To 07/31/1994 PRIME CAPITAL SERVICES, INC.(18334)

Reason for Termination Voluntary

Termination Comment

Regulator	Registration Category	Status Date	Registration Status	Approval Date
FINRA	GS	08/04/1994	TERMED	12/09/1992
NC	AG	08/04/1994	TERMED	05/17/1994
NJ	AG	08/04/1994	TERMED	12/11/1992
NY	AG	08/04/1994	TERMED	12/09/1992
VA	AG	08/04/1994	TERMED	03/15/1994

From 10/20/1980 To 11/10/1992 EQUICO SECURITIES, INC.(6627)

Reason for Termination Voluntary

Termination Comment

Regulator	Registration Category	Status Date	Registration Status	Approval Date
CT	AG	12/31/1990	TERMED	12/07/1988
FINRA	GS	11/24/1992	TERMED	10/20/1980
NJ	AG	11/24/1992	TERMED	12/12/1988
NY	AG	11/24/1992	TERMED	01/21/1983

From 06/01/1972 To 11/10/1992 THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES(4039)

Reason for Termination Voluntary

Termination Comment

Regulator	Registration Category	Status Date	Registration Status	Approval Date
FINRA	GS	11/24/1992	TERMED	06/12/1973

Snapshot - Individual

CRD® or IARD(TM) System Report provided to: Florida

Request Submitted: 3/28/2018 8:07:54 AM

Individual 323779 - MAY, HECTOR ANTHONY

Administrative Information**Professional Designations**<<No Professional Designations found for this Individual.>>

Employment History

From	05/2005	To	Present	Name	EVERBANK
				Location	JACKSONVILLE, FL, United States
				Position	MORTGAGE CONSULTANT / BANKING PRODUCT SALES
				Investment Related	Yes
From	07/1994	To	Present	Name	SECURITIES AMERICA, INC.
				Location	NEW CITY, NY, United States
				Position	REGISTERED REPRESENTATIVE
				Investment Related	Yes
From	04/1994	To	Present	Name	EXECUTIVE COMPENSATION PLANNERS, INC
				Location	NEW CITY, NY, United States
				Position	INVESTMENT ADVISOR REPRESENTATIVE/DIRECTOR, CCO
				Investment Related	Yes
From	11/2005	To	09/2008	Name	HEARTLAND INSTITUTE
				Location	AURORA, CO, United States
				Position	TEACHING FINANCIAL EDUCATION / SEMINARS
				Investment Related	Yes
From	06/2005	To	06/2006	Name	THE DONOR MOTIVATION PROGRAM
				Location	NEW CITY, NY, United States
				Position	CHARITY FUNDRAISING SEMINARS
				Investment Related	Yes
From	10/2001	To	03/2002	Name	TAPPAN ZEE LOCAL DEVELOPMENT CORP
				Location	NEW CITY, NY, United States
				Position	BOARD CHAIR
				Investment Related	No

Office of Employment History

From 07/1994 To 03/2018

Name SECURITIES AMERICA, INC.(10205)

Independent Contractor Yes

Office of Employment Address

Snapshot - Individual

CRD® or IARD(TM) System Report provided to: Florida

Request Submitted: 3/28/2018 8:07:54 AM

Individual 323779 - MAY, HECTOR ANTHONY

Administrative Information

Office of Employment History

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office
111203			Yes	No	07/29/1994	03/09/2018	Located At
	Address 20 SQUADRON BLVD STE 600 NEW CITY, NY 10956-5257 United States						
			No	No	07/29/1994	12/20/2005	Located At
	Address 20 SQUADRON BLVD UNIT 600 NEW CITY, NY 10956 United States						

From 08/2011 To 11/2013

Name EXECUTIVE COMPENSATION PLANNERS, INC.(116375)

Independent Contractor No

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office
			No	No	08/15/2011	11/04/2013	Located At
	Address 20 SQUADRON BLVD., UNIT 600 ORANGEBURG, NY 10956 United States						

From 11/1992 To 07/1994

Name PRIME CAPITAL SERVICES, INC.(18334)

Independent Contractor No

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office
			No	No	11/30/1992	07/31/1994	Located At
	Address 11 RAYMOND AVENUE POUGHKEEPSIE, NY 12603 United States						

From 10/1980 To 11/1992

Name EQUICO SECURITIES, INC.(6627)

Independent Contractor No

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office
			No	No	10/20/1980	11/10/1992	Located At
	Address 1755 BROADWAY NEW YORK, NY 10019 United States						

From 06/1972 To 11/1992

Snapshot - Individual

CRD® or IARD(TM) System Report provided to: Florida

Request Submitted: 3/28/2018 8:07:54 AM

Individual 323779 - MAY, HECTOR ANTHONY

Administrative Information

Office of Employment History

Name THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES(4039)

Independent Contractor No

Office of Employment Address

CRD Branch#	Branch Code#	Firm Billing Code	Registered Location?	Private Residence?	Address Start Date	Address End Date	Type of Office
			No	No	06/01/1972	11/10/1992	Located At
Address 787 SEVENTH AVENUE NEW YORK, NY 10019 United States							

Other Business

EXECUTIVE COMPENSATION PLANNERS INVESTMENT INC ADVISORY - IAR- REFERRING BUSINESS TO PRIME TRUST--PRESIDENT

INSURANCE SALES - AGENT-INVESTMENT RELATED-START DATE: 07/2001- LOCATED AT- 20 SQUADRON BLVD STE 600 NEW CITY, NY 10956-5257

EXECUTIVE COMPENSATION PLANNERS, INC.
POSITION: PLANNER NATURE: THIS IS A FINANCIAL PLANNING AND ADVISORY BENEFIT COMPANY, STARTED BY ME ON JANUARY 1, 1983. INVESTMENT RELATED: YES NUMBER OF HOURS: 150 SECURITIES TRADING HOURS: 80 START DATE: 01/01/1983
ADDRESS: 20 SQUADRON BLVD., SUITE 600, NEW CITY NY 10956
DESCRIPTION: EXECUTIVE COMPENSATION PLANNERS IS AN INDEPENDENT REGISTERED INVESTMENT ADVISOR UNDER NEW YORK STATE LAW

NOTARY PUBLIC
POSITION: notary public NATURE: notary public of new york only INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 01/20/2014
ADDRESS: 20 squadron blvd., suite 600, new city NM 10956, United States
DESCRIPTION: notary functions

Exam Appointments

<<No Exam Appointments found for this Individual.>>

Exam History

Exam	Enrollment ID	Exam Status	Status Date	Exam Date	Grade	Score	Window Dates
S1	16861123	Official Result	06/07/1973	06/07/1973	Passed	107	-
S24	16861126	Official Result	03/23/1995	03/23/1995	Passed	71	-
S24	16861125	Official Result	11/28/1994	11/28/1994	Failed	64	-
S24	16861124	Official Result	10/21/1994	10/21/1994	Failed	64	-
S63	16861127	Official Result	03/14/1994	03/14/1994	Passed	76	-
S65	16861130	Window Expired	01/13/2012				09/14/2011-01/12/2012
S65	16861129	Official Result	11/15/1994	11/15/1994	Passed	82	-
S65	16861128	Official Result	10/25/1994	10/25/1994	Failed	61	-

CE Regulatory Element Status

Current CE Status SATISFIED

CE Base Date 06/12/1973

Snapshot - Individual

Individual 323779 - MAY, HECTOR ANTHONY

Administrative Information

CE Appointments

<<No CE Appointments found for this Individual.>>

Current CE

<<No Current CE found for this Individual.>>

Next CE

Window Dates	Enrollment ID	Requirement Type	Session
06/12/2020-10/09/2020	36183757	Anniversary	201

CE Directed Sequence History

<<No CE Directed Sequence History found for this Individual.>>

Inactive CE History Dates

<<No Inactive CE History Dates found for this Individual.>>

Previous CE Requirement Status

Requirement Type	Enrollment ID	Session	Status	Status Date	Window Dates	Result
Anniversary	34439427	201			06/12/2017-10/09/2017	
Anniversary	34439427	201	SATISFIED	10/05/2017	06/12/2017-10/09/2017	10/05/2017 - CMPLT
Anniversary	34439427	201	REQUIRED	06/12/2017	06/12/2017-10/09/2017	
Anniversary	33339848	201			06/12/2014-10/09/2014	
Anniversary	33339848	201	SATISFIED	09/17/2014	06/12/2014-10/09/2014	09/17/2014 - CMPLT
Anniversary	33339848	201	REQUIRED	06/12/2014	06/12/2014-10/09/2014	
Anniversary	32346644	201	SATISFIED	08/30/2011	06/12/2011-10/09/2011	08/30/2011 - CMPLT
Anniversary	32346644	201	REQUIRED	06/13/2011	06/12/2011-10/09/2011	
Anniversary	31344245	201	SATISFIED	09/10/2008	06/12/2008-10/09/2008	09/10/2008 - CMPLT
Anniversary	31344245	201	REQUIRED	06/12/2008	06/12/2008-10/09/2008	
Anniversary	30324958	201	SATISFIED	09/26/2005	06/12/2005-10/09/2005	09/26/2005 - CMPLT
Anniversary	30324958	201	REQUIRED	06/13/2005	06/12/2005-10/09/2005	
Anniversary	29328595	201	SATISFIED	09/25/2002	06/12/2002-10/09/2002	09/25/2002 - CMPLT
Anniversary	29328595	201	REQUIRED	06/12/2002	06/12/2002-10/09/2002	
Anniversary	28655287	201	SATISFIED	07/08/1999	06/12/1999-10/09/1999	
Anniversary	28655287	201		07/08/1999	06/12/1999-	07/08/1999 - CMPLT

Snapshot - Individual

CRD® or IARD(TM) System Report provided to: Florida

Request Submitted: 3/28/2018 8:07:54 AM

Individual 323779 - MAY, HECTOR ANTHONY**Administrative Information****Previous CE Requirement Status**

Requirement Type	Enrollment ID	Session	Status	Status Date	Window Dates	Result
					10/09/1999	
Anniversary	28058616	101			06/12/1996- 10/09/1996	
Anniversary	27792656	101			06/12/1993- 10/09/1993	
Anniversary	27598261	101			06/12/1990- 10/09/1990	
Anniversary	27424900	101			06/12/1987- 10/09/1987	
Anniversary	27322600	101			06/12/1984- 10/09/1984	
Anniversary	27304065	101			06/12/1983- 10/09/1983	
Anniversary	27284681	101			06/12/1981- 10/09/1981	
Anniversary	27268788	101			06/12/1978- 10/09/1978	
Anniversary	27268786	101			06/12/1978- 10/09/1978	
Anniversary	27261346	101			06/12/1975- 10/09/1975	
Anniversary	27261345	101			06/12/1975- 10/09/1975	

Filing History

Date	Type	Submitted by
03/16/2018	U5 Full	SECURITIES AMERICA, INC. (10205)
03/05/2018	U4 Amendment	SECURITIES AMERICA, INC. (10205)
05/15/2017	U4 Amendment	SECURITIES AMERICA, INC. (10205)
05/16/2016	U4 Amendment	SECURITIES AMERICA, INC. (10205)
10/06/2015	BR Filing	SECURITIES AMERICA, INC. (10205)
09/19/2015	U4 Amendment	SECURITIES AMERICA, INC. (10205)
03/16/2015	U4 Amendment	SECURITIES AMERICA, INC. (10205)
09/18/2013	U4 Amendment	SECURITIES AMERICA, INC. (10205)
11/17/2012	U4 Amendment	SECURITIES AMERICA, INC. (10205)
09/20/2012	U4 Amendment	SECURITIES AMERICA, INC. (10205)
11/23/2011	U4 Amendment	SECURITIES AMERICA, INC. (10205)
09/13/2011	U4 Dual	EXECUTIVE COMPENSATION PLANNERS, INC. (116375)
02/10/2011	U4 Amendment	SECURITIES AMERICA, INC. (10205)
06/28/2010	U4 Amendment	SECURITIES AMERICA, INC. (10205)
05/21/2009	U4 Willful Questions Update	SECURITIES AMERICA, INC. (10205)
03/27/2009	U4 Amendment	SECURITIES AMERICA, INC. (10205)
12/19/2008	U5 Partial	SECURITIES AMERICA, INC. (10205)

Individual 323779 - MAY, HECTOR ANTHONY

Administrative Information**Filing History**

Date	Type	Submitted by
11/30/2006	U4 Amendment	SECURITIES AMERICA, INC. (10205)
09/27/2006	U4 Amendment	SECURITIES AMERICA, INC. (10205)
03/07/2006	U4 Amendment	SECURITIES AMERICA, INC. (10205)
02/16/2006	U4 Amendment	SECURITIES AMERICA, INC. (10205)
02/16/2006	BR Filing	SECURITIES AMERICA, INC. (10205)
12/20/2005	BR Filing	SECURITIES AMERICA, INC. (10205)
06/17/2005	U4 Amendment	SECURITIES AMERICA, INC. (10205)
05/05/2004	U5 Amendment	AXA ADVISORS, LLC (6627)
03/23/2004	U4 Amendment	SECURITIES AMERICA, INC. (10205)
05/28/2003	U5 Partial	SECURITIES AMERICA, INC. (10205)
11/22/2002	U4 Amendment	SECURITIES AMERICA, INC. (10205)
05/09/2002	U4 Amendment	SECURITIES AMERICA, INC. (10205)
10/18/2001	U4 Amendment	SECURITIES AMERICA, INC. (10205)
02/02/2001	U4 Amendment	SECURITIES AMERICA, INC. (10205)
04/18/2000	U4 Amendment	SECURITIES AMERICA, INC. (10205)
09/21/1999	U4 Amendment	SECURITIES AMERICA, INC. (10205)
07/06/1999	U4 Conversion	SECURITIES AMERICA, INC. (10205)
07/05/1999	U4 Conversion	SECURITIES AMERICA, INC. (10205)
07/05/1999	U5 Conversion	PRIME CAPITAL SERVICES, INC. (18334)
07/05/1999	U4 Conversion	PRIME CAPITAL SERVICES, INC. (18334)
07/05/1999	U5 Conversion	AXA ADVISORS, LLC (6627)
07/05/1999	U4 Conversion	AXA ADVISORS, LLC (6627)
07/05/1999	U5 Conversion	THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES (4039)
07/05/1999	U4 Conversion	THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES (4039)

Individual 323779 - MAY, HECTOR ANTHONY**Reportable Events****Number of Reportable Events**

Bankruptcy	0
Bond	0
Civil Judicial	0
Criminal	0
Customer Complaint	0
Internal Review	2
Investigation	1
Judgment/Lien	0
Regulatory Action	1
Termination	1

Occurrence#	110081	Disclosure Type	Regulatory Action
FINRA Public Disclosable	Yes	Reportable	Yes
Material Difference in Disclosure	No		

Filing ID	6229089	Form (Form Version)	U4 (08/1999)
Filing Date	04/18/2000		
Source	10205 - SECURITIES AMERICA, INC.		
Disclosure Questions Answered	23D(2)		

Regulatory Action DRP **DRP Version** 10/2005

1. Regulatory action initiated by: NEW YORK STATE INSURANCE DEPT.
2. Principal sanction:
Other sanction(s):
3. Date Initiated/Explanation: 07/21/1992
4. Docket/Case#: 92-03586
5. Employing firm: THE EQUITABLE LIFE
6. Principal product type: Insurance
Other product type(s):
7. Allegation(s): SALE OF FIVE FIXED LIFE INSURANCE POLICIES
ISSUED BY AN UNLICENSED NEW YORK INSURER EQUITABLE OF
COLORADO
8. Current status: Final
9. Appealed to:
10. Resolution: Stipulation and Consent
11. Resolution date/Explanation: 11/26/1996
12. A. Resolution detail: Monetary/Fine Sanction (Amount: \$2,500.00)

Individual 323779 - MAY, HECTOR ANTHONY

Reportable Events

Regulatory Action DRP

DRP Version 10/2005

B. Other sanction(s) ordered:

C. Sanction detail: \$2,500.00 FINE

13. Comment: THE DISCLOSURE QUESTIONS #23D HAS IN ITS CONTENT"INVESTMENT RELATED" I WISH TO MAKE IT VERY CLEAR THAT I DID NOT VIOLATE ANY SECURITY LAW. MY ONLY INFRACTION WAS SOLICITING NY STATE RESIDENT TO DO BUSINESS WITH AN UNLICENSED SUBSIDIARY OF A MAJOR LIFE INSURER, I.E. THE EQUITABLE LIFE.

Occurrence#	1196927	Disclosure Type	Internal Review
FINRA Public Disclosable	No	Reportable	Yes
Material Difference in Disclosure	No		

Filing ID	13520285	Form (Form Version)	U5 (06/2003)
Filing Date	05/05/2004		
Source	6627 - AXA ADVISORS, LLC		
Disclosure Questions Answered	7B		

Internal Review DRP

DRP Version 10/2005

Part I

1. Notice received from: AXA ADVISORS LLC
2. Date initiated/Explanation: 04/21/2004
3. Details: DAUGHTER OF DECEASED CLIENT HAS REQUESTED POLICY LOAN HISTORIES FOR POLICIES ON THE LIVES OF HER FATHER (NOW DECEASED) AND MOTHER. DAUGHTER VERBALLY STATED THAT HER FATHER APPARENTLY GAVE MAY RIGHTS TO SIGN ON HIS BEHALF FOR LOANS ON THE LIFE INSURANCE POLICIES.
4. Date concluded/ Explanation:

Part II

Summary:

Occurrence#	1972228	Disclosure Type	Termination
FINRA Public Disclosable	Yes	Reportable	Yes
Material Difference in Disclosure	No		

Filing ID	48506286	Form (Form Version)	U5 (05/2009)
Filing Date	03/16/2018		
Source	10205 - SECURITIES AMERICA, INC.		
Disclosure Questions Answered	7F(1),7F(2)		

Termination DRP

DRP Version 05/2009

Individual 323779 - MAY, HECTOR ANTHONY**Reportable Events****Termination DRP****DRP Version** 05/2009

1. Firm name: Securities America, Inc.
2. Termination type: Discharged
3. Date filed/Explanation: 03/09/2018
4. Allegation(s): Misappropriation of client assets.
5. Product type(s): No Product
6. Comment:

Occurrence#	1972229	Disclosure Type	Internal Review
FINRA Public Disclosable	No	Reportable	Yes
Material Difference in Disclosure	No		

Filing ID	48506286	Form (Form Version)	U5 (05/2009)
Filing Date	03/16/2018		
Source	10205 - SECURITIES AMERICA, INC.		
Disclosure Questions Answered	7B		

Internal Review DRP**DRP Version** 05/2009**Part I**

1. Notice received from: Securities America, Inc.
2. Date initiated/Explanation: 03/02/2018
3. Details: The Firm is conducting a review of allegations that the representative misappropriated client assets.
4. Internal review pending: Yes
5. Resolution details:
 - A. Date concluded/ Explanation:
 - B. Internal review resolution:
6. Comment:

Part II

Summary:

Occurrence#	1972230	Disclosure Type	Investigation
FINRA Public Disclosable	Yes	Reportable	Yes
Material Difference in Disclosure	No		

Filing ID	48506286	Form (Form Version)	U5 (05/2009)
Filing Date	03/16/2018		
Source	10205 - SECURITIES AMERICA, INC.		
Disclosure Questions Answered	7A		

Individual 323779 - MAY, HECTOR ANTHONY

Reportable Events

Investigation DRP

DRP Version 05/2009

1. Investigation initiated by:
 - A. Notice received from: Other
 - B. Full name of regulator: U.S. Department of Justice
2. Notice date/Explanation: 03/08/2018
3. Nature of investigation: The U.S. Department of Justice is conducting an official criminal investigation of a suspected felony.
4. Pending investigation: Yes
5. Resolution details:
 - A. Date resolved/Explanation:
 - B. Investigation resolution:
6. Comment:

Regulator Archive and Z Records

<<No Regulator Archive and Z Records found for this Individual.>>
